

List of Patents and Publications for
Applicant's Information Disclosure Statement

APPLICANT: Liu, Shiping et al.

(Use several sheets if necessary)

FILING DATE: Nov. 7, 2001
GROUP: 2164

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Technology Center 2100

Reference Designation US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
<i>ML</i>	AA 5987425	11/16/99	Hartman, et al.	705/20	Oct. 10, 1997
<i>ML</i>	AB 5615109	3/25/97	Eder	395/208	May 24, 1995
<i>ML</i>	AC 6101479	8/8/00	Shaw	705/8	Nov. 1, 1995

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class/Subclass	Translation Yes No
<i>ML</i>	AD 9909503	2/25/99	PCT	G06F17/60	X
<i>ML</i>	AE 0039718	7/6/00	PCT	G06F17/60	X
<i>ML</i>	AF 1035485	9/13/00	European	G06F17/60	X

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

ML AG InformationWEEK, Sept. 1996, Johnston, S.J., No. 596 "How to Get a Better Return on Data", pp. 82, 86, 88.

ML AH Banking Technology, Oct. 1991, Vol. 8, No. 9, Austin, D., "Brave New Branches", pp. 49-51.

ML AI Banking Software Review, Autumn 1990, Vol. 15, No. 4, Rosenthal B. E., "Turning Products into Profits with Teller Tools", pp. 54-59.

ML AJ Bank Systems and Equipment, June 1988, Vol. 25, No. 6, Fuentebella, C., "Teller and Platform Integration Expands Marketing Drive", pp. 65-68.

ML AK Bank Systems and Equipment, June 1987, Vol. 24, No. 6 "First Tennessee Turns to Integrated Packages", pp. 62-64.

ML AL Bank Systems and Equipment, Oct. 1986, Vol. 23, No. 10, Caradonna, L., "Householding of Customer Files Yields Valuable Marketing Info", pp. 68-70.

ML AM Proceedings KDD-2000, Sixth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, Kitts, B.; Freed, D.; Vrieze, M.; "Cross-sell: a Fast Promotion-Turntable Customer-Item Recommendation Method Based on Conditionally Independent Probabilities", pp. 437-446.



AN Proceedings of the Fourth International Conference on the Practical Application of Knowledge Discovery and Data Mining, Biggs, G., "Implementing Data Mining in a Marketing Data Warehouse Development", pp. 15-18.

AO Direct Marketing, Connon, E., Sept. 1996, Vol. 59, no. 5., "Developing and Retaining Profitable Customer Relationships Through Call Centers", pp. 24-25.

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Date Considered

1/3/02

Amber
Examiner

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FORM PTO-1449	SERIAL NO.	CASE NO.
LIST OF PATENTS AND RELATED APPLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	10/008,371	56/361
	FILING DATE	GROUP ART UNIT
	December 6, 2001	2622
	APPLICANT: Erich Strasser	

REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>Nicu</i>	A1 3,725,904	04/03/73	Breslow et al.		
	A2 4,311,987	01/19/82	Taillebois		
	A3 5,687,103	11/11/97	Hagi et al.		
<i>✓</i>	A4 5,793,201	08/11/98	Nelle et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<i>Nicu</i>	A5 28 25 842	12/21/78	W. Germany		X
	A6 35 09 682	09/18/86	W. Germany		X
	A7 40 09 749	12/06/90	Germany		X
	A8 43 42 377	06/14/95	Germany		X
	A9 44 25 416	02/08/96	Germany		X
<i>✓</i>	A10 44 27 278	02/15/96	Germany		X
<i>✓</i>	A11 195 08 834	09/12/96	Germany		X

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>Nicu</i>	A10	RECEIVED
	A11	JUN 07 2002
	A12	
	A13	
	A14	
<i>✓</i>	A15	Technology Center 2600

EXAMINER	DATE CONSIDERED
<i>Nicu</i>	11/3/08

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